CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity. By signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children's services and that all subgrantees shall certify accordingly.

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Taller D. Muleinan
Signature /
Lt. Governor
Title
State of Indiana
Organization

CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

Certification Regarding Debarment, Suspension, and Other Responsibility Matters-Primary Covered Transactions

Instructions for Certification

- 1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
- 2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
- 3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
- 4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
- 6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- 7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled ``Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- 8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under

48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters-Primary Covered Transactions

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
- (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is

providing the certification set out below.

- 2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- 3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.
- 4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- 5. The prospective lower tier participant agrees by submitting this proposal that, [[Page 33043]] should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- 6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- 7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
- 8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility an Voluntary Exclusion-Lower Tier Covered Transactions

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

STATE OF INDIANA FFY 2006 and 2007 STATE PLAN

APPENDICES

APPENDIX A

INDIANA'S COMMUNITY ACTION AGENCY STATUTES INDIANA CODE, TITLE 12 HUMAN SERVICES

Article 14, Chapters 23
Community Services, Community Action Agencies,
Community
Action Boards
(IC12-14-23)

IC 4-4-33

Shows changes made during the 2006 legislative session regarding specific human services. The change now results in the Lt. Governor's Office housing the CSBG Program for the State of Indiana. This change is in accordance with 42 U.S.C § 9908 and pursuant to Indiana Code 4-4-33-1 (2) as the appropriate State agency to act as the lead agency for purposes of carrying out State activities related to the Community Services Block Grant program.

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IC 12-14-23

Chapter 23. Community Action Agencies; Community Action Boards

IC 12-14-23-1

Legislative policy; purpose of chapter

- Sec. 1. (a) It is the policy of Indiana to help develop the full potential of each of its citizens so that they can live in decency and dignity and so that they can contribute to the strength of the state as a whole. The resources of the private sector of the economy should be employed to increase the opportunities for people to develop their capabilities.
- (b) It is the purpose of this chapter to strengthen, supplement, and coordinate efforts that further the policies stated in subsection (a).

 As added by P.L.2-1992, SEC.8.

IC 12-14-23-2

"Community action agency" defined

- Sec. 2. As used in this chapter, "community action agency" means an entity that meets the following conditions:
 - (1) Is any of the following:
 - (A) A private nonprofit organization that is geographically located within a community.
- (B) A private nonprofit organization that is located in a county or counties contiguous to or within reasonable proximity of a community.
- (C) A political subdivision, if there is no qualified nonprofit organization identified that meets the criteria set forth in clause A or B.
- (2) Has the authority under state or federal law to receive money to support the community action programs described in sections 3 and 4 of this chapter.
- (3) Is designated as a community action agency by the governor or by federal law. As added by P.L.2-1992, SEC.8. Amended by P.L.186-2001, SEC.7.

IC 12-14-23-3

"Community action program" defined

- Sec. 3. As used in this chapter, "community action program" means a community based and operated program that meets the following conditions:
- (1) Includes or is designed to include a sufficient number of projects or components to provide a range of services and activities that have a measurable and potentially major impact on causes of poverty in:
 - (A) the community; or
 - (B) those areas of the community where poverty is a particularly acute problem.
- (2) Has been developed, and organizes and combines the program's component projects and activities, in a manner

appropriate to carry out all the purposes of this chapter.

(3) Conforms to any other criteria that the governor prescribes consistent with this chapter. As added by P.L.2-1992, SEC.8.

IC 12-14-23-4

Community action programs; design and purpose; components

- Sec. 4. (a) The components of a community action program shall be designed to assist participants, including the poor and near poor, persons with disabilities, farmworkers, the elderly, and youth, to do the following:
 - (1) Secure and retain meaningful employment.
 - (2) Attain an adequate education.
 - (3) Make better use of available income.
 - (4) Provide and maintain adequate housing and a suitable living environment.
- (5) Undertake family planning consistent with personal and family goals and religious and moral convictions.
 - (6) Obtain services for the following:
 - (A) The prevention of narcotics addiction and alcoholism.
 - (B) The rehabilitation of narcotic addicts and alcoholics.
- (7) Obtain emergency assistance through loans or grants to meet immediate and urgent individual and family needs, including the need for health services, nutritious food, housing, and employment related assistance.
- (8) Remove obstacles and solve personal and family problems that block the achievement of self-sufficiency.
 - (9) Achieve greater participation in the affairs of the community.
- (10) Make more frequent and effective use of other programs related to the purposes of this chapter.
 - (b) Components of a community action program may be:
 - (1) administered by:
- (A) the community action agency when consistent with sound and efficient management and applicable law; or
 - (B) other agencies;
 - (2) projects assisted from other public or private sources; and
- (3) specially designed to meet local needs, or designed under the eligibility standards of a state or federal program providing assistance to a particular type of activity that will help meet local needs. As added by P.L.2-1992, SEC.8.

IC 12-14-23-5

Organization under IC 12-14-23; right to receive state or federal funds

Sec. 5. A community action agency may not receive state or federal money appropriated or allocated by the state to carry out community action programs unless the agency is organized in accordance with this chapter.

As added by P.L.2-1992, SEC.8.

IC 12-14-23-6

Administration of programs; community action board

Sec. 6. (a) Each community action agency shall administer the agency's community action programs through a volunteer community action board consisting of not less than fifteen (15) and not more than fifty-one (51) members.

- (b) One-third (1/3) of the members of the board must be elected public officials currently holding office or representatives of the public officials.
- (c) At least one-third (1/3) of the members of the board must be persons chosen by democratic selection procedures that are adequate to assure that those members are representative of the poor in the area served.
- (d) The other members of the board must be officials or members of business, industry, labor, religious, welfare, education, or other major groups and interests in the community.
- (e) Each member of the board selected to represent a specific geographic area within a community must reside in the area represented.

 As added by P.L.2-1992, SEC.8.

IC 12-14-23-7

Subsidiary board or agency responsible for major policy determination; representation of geographic area

Sec. 7. If a community action agency places in a subsidiary board, council, or similar agency the responsibility for major policy determination concerning the character, funding, extent, administration, and budgeting of programs to be carried on in a particular geographic area within the community, the board, council, or agency must be broadly representative of the geographic area. As added by P.L.2-1992, SEC.8.

IC 12-14-23-8

Community action agencies; consultation with neighborhood based organizations

- Sec. 8. Each community action agency shall consult neighborhood based organizations composed of:
 - (1) residents of the area served; or
 - (2) members of the groups served;

to assist the agency in the planning, conduct, and evaluation of components of the community action program.

As added by P.L.2-1992, SEC.8.

IC 12-14-23-9

Community action agencies; powers, duties, and activities

- Sec. 9. A community action agency shall do the following:
 - (1) Systematically plan for an effective community action program.
 - (2) Develop information concerning the problems and causes of poverty in the community.
- (3) Determine the amount and effectiveness of the assistance being provided to deal with the problems and causes of poverty in the community.
- (4) Establish priorities among projects, activities, and areas to ensure the best and most efficient use of resources.
- (5) Encourage agencies engaged in activities related to the community action program to do the following:
 - (A) Plan for, secure, and administer available assistance on a common or cooperative basis.
 - (B) Provide planning or technical assistance to those agencies.
- (6) In cooperation with community agencies and officials, undertake actions to improve existing efforts to reduce poverty, including the following:
 - (A) Improving day-to-day communications.
 - (B) Closing service gaps.
 - (C) Focusing resources on the most needy.

(D) Providing additional opportunities to low income individuals for any of the following:

(i) Regular employment.

- (ii) Participation in the programs or activities for which those community agencies and officials are responsible.
- (7) Initiate and sponsor projects responsive to those needs of the poor that are not otherwise being met. The projects must emphasize the following:
 - (A) Providing central or common services that can be drawn upon by various related programs.
- (B) Developing new approaches or new types of services that can be incorporated into other programs.
 - (C) Filling gaps pending the expansion or modification of the programs.

(8) Establish effective procedures to do the following:

- (A) Enable the poor and the affected area residents to influence the character of programs affecting the interests of the poor and the affected area.
- (B) Provide for regular participation of the poor and the affected area residents in the implementation of the programs.
- (C) Provide technical and other support needed to enable the poor and neighborhood groups to secure available assistance from public and private sources.
- (9) Join with and encourage business, labor, and other private groups and organizations to undertake, together with public officials and agencies, activities in support of the community action program that will result in the additional use of private resources and capabilities. These activities shall be undertaken for the following purposes:
 - (A) Developing new employment opportunities.
- (B) Stimulating investment that will have a measurable impact on reducing poverty among residents of areas of concentrated poverty.
- (C) Providing methods by which residents of the areas can work with private groups, firms, and institutions in seeking solutions to problems of common concern.

 As added by P.L.2-1992, SEC.8.

IC 12-14-23-10

Interlocal cooperation agreements

Sec. 10. Community action agencies may enter into interlocal cooperation agreements with units of government.

As added by P.L.2-1992, SEC.8.

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IC 4-4-33

Chapter 33. Miscellaneous Community Development Programs

IC 4-4-33-1

Administration by lieutenant governor

- Sec. 1. The lieutenant governor shall administer the following:
 - (1) The Housing Assistance Act of 1937 (42 U.S.C. 1437).
- (2) Community services programs, including the Community Services Block Grant under 42 U.S.C. 9901 et seq.
- (3) Home energy assistance programs, including the Low Income Home Energy Assistance Block Grant under 42 U.S.C. 8621 et seq.
- (4) Weatherization programs, including weatherization programs and money received under 42 U.S.C. 6851 et seq.
- (5) Food and nutrition programs, including food and nutrition programs and money received under 7 U.S.C. 612, 7 U.S.C. 7501 et seq., and 42 U.S.C. 9922 et seq.
- (6) Migrant and farm worker programs and money under 20 U.S.C. 6391 et seq., 29 U.S.C. 49 et seq., and 42 U.S.C. 1397 et seq.
 - (7) Emergency shelter grant programs and money under 42 U.S.C. 11371 et seq.
- (8) Shelter plus care programs and money under 42 U.S.C. 11403 et seq. *As added by P.L.181-2006, SEC.12*.

APPENDIX B

GOVERNOR'S LETTER OF DESIGNATION



July 28, 2006

The Honorable Michael O. Leavitt U.S. Department of Health and Human Services 200 Independence Avenue, S.W. Washington, D.C. 20201

Dear Secretary Leavitt,

In accordance with 42 U.S.C. § 9908, I, Mitchell E. Daniels, Jr., Governor of the State of Indiana, hereby designate the Office of the Lieutenant Governor pursuant to Indiana Code 4-4-33-1(2), as the appropriate State agency to act as the lead agency for purposes of carrying out State activities for the Community Services Block Grant program.

If there is any additional information you require from the State of Indiana please contact Julia Holloway, Director of Grants Services of the Office of the Lieutenant Governor, at (317) 232-0604.

Sincerely,

Mitchell E. Daniels, Jr.

APPENDIX C

HEARING NOTICE AND DISTRIBUTION LIST

Public Notices

Indianapolis Star P.O. Box 145 Indianapolis, IN 46206-0145 Phone: (317) 444-4444

Fax: (317) 444-8806 www.indystar.com

The Indiana Housing and Community Development Authority (IHCDA) has an email distribution system referred to as IHCDA-Info. This system delivers notices directly to individual e-mail accounts. At the current time, there are approximately 2300 registered e-mail accounts in this system. These e-mail accounts include Community Action Agencies, community development corporations, various non-profit groups, for-profit developers, other governmental entities, and interested citizens. Agencies and interested persons can sign up to receive this information from the IHCDA website at www.ihcda.in.gov.

Two notices of the CSBG State Plan Public Hearing were sent to the IHCDA-Info distribution list. Please see the attached copies of the notice.

Additionally, IHCDA directly contacted the Indiana Community Action Association (INCAA) with a copy of the public notice to distribute to their members and interested parties. INCAA members include all 24 eligible entities throughout the State of Indiana.

NOTICE OF PUBLIC HEARING COMMUNITY SERVICES BLOCK GRANT STATE PLAN FOR FFY 2006-2007

OFFICE OF LT. GOVERNOR REBECCA S. SKILLMAN GRANT SERVICES

Pursuant to Public Law subsection 676(a) (3) and 676 (a) (2) (B), the State of Indiana wishes to encourage citizens to participate in the development of the State of Indiana CSBG State Plan for 2006/2007. In accordance with this regulation, the State is providing the opportunity for citizens to comment on the 2006/2007 State Plan draft report, which will be submitted to the US Department of Heath and Human Services, Office of Community Service on or before September 1, 2006. The State Plan defines the method of distribution of funding for the CSBG Program.

The public hearing will be conducted as follows:

July 31, 2006 1:00pm - 3:00pm One North Capitol Indianapolis, Indiana 46204 First Floor Conference Room

If you are unable to attend the public hearing, written comments are invited through August 14, 2006, at the following address:

Grant Services
Lt. Governor Rebecca S. Skillman
One North Capitol – Suite 600
Indianapolis, Indiana 46204-2288

Please direct all questions to Grant Services in the Office of the Lt. Governor at its toll free telephone number (800-246-7064) during normal business hours.

Public Notice Affidavit

ND DEPT OF COMMERCE

1ARION COUNTY, INDIANA

To: INDIANAPOLIS NEWSPAPERS 307 N PENNSYLVANIA ST - PO BOX 145 INDIANAPOLIS, IN 46206-0145

PUBLISHER'S CLAIM

INE COUNT					
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Head - Number of lines				\$	
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DATE: <u>07/19/2006</u>			Your	Mulli	Na Clerk Title
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Form 65-REV 1-88

STATE PRESCRIBED FORMULA

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My commission expires:

RATE PER LINE

Notary Public, State of Indiana My Commission Exp. 03/06/2011

> PUBLISHED 1 TIME = .339 PUBLISHED 2 TIMES= .509 PUBLISHED 3 TIMES= .679 PUBLISHED 4 TIMES= .848

CSBG State Plan Public Hearing Agenda

Monday, July 31, 2006

1 North Capitol, First Floor Conference Room
1:00 PM

AGENDA

Explanation of CSBG Funding (if any Non-CAA persons present)
Agency Changes
Funding Distribution
Usage of Funds
Allocation of CSBG Discretionary Funds

State Plan Format

Additional Comments/Discussion/Questions

Sign In Sheet

Sign In Sheet CSBG Public Hearing July 31, 2006

One North Capitol, First Floor Conference Room 1:00 pm - 3:00 pm

STANLEY B. BIBBS	COMM. ACTION OF GREATER INDRES	
L. Wayne Stewart	Comm. Action of Greater Indeps.	
Vic Muller	KIRKPATRICK MANAGE WENT COME	دور
Caron Bales	Indiana Housing Community Development And CINCOLA HILLS DEVELOPMENT CORP.	har
LARRY K. KLEEMAN	CINCOLN HILLS DEVELOPMENT CORP.	
Laurg O'Donnell	14. Governor's Grant services Office	
Ed GERARDOT	INDIANA CAA ASSOC.	
Julia Holloway	LG	
15/17 aboth Surgener	26	
Melanie Pries	School on wheels	
	·	

CSBG Public Hearing Minutes & Related Correspondence

Minutes:

Monday, July 31, 2006 One North Capitol, First Floor Conference Room 1:00pm-3:00pm

Welcome: this hearing is an opportunity for you to provide suggestions/changes/comments

This is a DRAFT- final copy will be available in a few weeks. The draft and final version of the plan will be made available by the following:

IHCDA target email will go out

Available on the Internet: http://www.in.gov/lg

Overview

Administrative changes

Now in the Lt. Governor's Office, contracted to IHCDA From FSSA

Input from Action Agencies

Weatherization and Energy moved, section 8, TEFAP, CNFP

IHCDA - monitoring

Lt. Governor - management

Changes are a modification – will go back to 2 year cycle

Important Changes

Administrative changes

Now a contact for HHS

No budget changes = money is still allocated

ROMA and monitoring – reporting is up to date

p. 24 better partner funding

p. 28 (2) (3) updated

p. 31 (i) language - most current information

Assessment information from INCAA

All 24 CAA

Comments, Questions, Changes

Move page 41 line up

p. 57-80 agency profiles = correct contact info and report appendix E4 p. 49+2

Shelter Plus Care funding (11 agencies administrative fees)

CANI → statewide

Last day for comments is Aug. 14th

Contact information on p. 44

Information on types of discretionary funding

Appendix E5

Simplified (one chart)

Agency Contact

Listed in profiles

p. 24 housing (IHCDA- Aaron)

www.ihcda.in.gov

Information on the GSO website

Lt. Governor's support for the continuation of CSBG

Positive

No legislative communication

Tables 29-30 gaps in data

Check reporting

Contact agencies

Anything not included in the plan?

Appendix E2 (49)

2006 is larger due to carry over from 2005

Closing Remarks: Thank you, website to review plan is http://www.in.gov/lg

Related Correspondence:

No additional comments were submitted during the public comment period.

APPENDIX D

A legislative hearing was held with the State Budget Committee on Friday, August 12, 2005. The meeting was held at Indiana State University located in Terre Haute, Indiana.

A copy of the agenda is provided here. The CSBG Program was included in Part III, Review Items.

A copy of the information presented at the meeting is also provided here.

COMMUNITY SERVICE BLOCK GRANT (CSBG) INDIANA STATE PLAN FOR 2006 and 2007

Purpose of Hearing

1) To present the Indiana State Plan for 2006 and 2007 for discussion and approval.

To comply with Federal regulation to hold a public hearing and a legislative hearing. Over the past 20 years, we have accomplished this through two means: Public Hearings which are advertised statewide every August, and State Budget Committee hearings such as this. The Public Hearing was held on Thursday, August 11 in the Indiana Government Center.

Funding:

1) \$9,226,803 per year

2) 100% Federal funding - provided by Health and Human Services Administration (HHS) specifically for Community Action Agencies.

3) Actual Distribution:

90% \$8,304,123 distributed to Community Action Agencies by law

5% 461,340 kept by IFSSA for administrative expenses

5% 461,340 is considered Discretionary funding (CSBG-D)

By federal regulation, we must use a "substantial amount" of the discretionary funding for Training & Technical Assistance to the service providers.

We elect to designate approximately of 3% of the total for T&TA, and the balance is used for special projects as requested by providers/or put out for bid by IFSSA.

Coverage: There are 24 Community Action Agencies in Indiana covering from 1 to 6 counties each. All 92 counties are served by these agencies.

Purposes of funding - to assist low-income participants in the areas of:

Employment, Education, Better Use of Income (including weatherization of homes), Housing, Emergency Assistance (including homeless assistance and utilities), Nutrition, Self-Sufficiency Programs, Health, Linkage services (including transportation and child care), and Other services such as Youth or Senior Programs and participation in community affairs.

Monitoring:

- 1) Both State and Independent Audits are completed on an annual basis
- Each individual program is reviewed by the state and/or funding source for other programs such as Head Start on an annual basis. A "Comprehensive Administrative Review" is completed on each agency every three years (1/3 of the 24 agencies are reviewed each year). Follow-up on findings is continuous until all issues are resolved.

Statistics:

Per the 2004 Annual CSBG Survey for submission to the National Association of State Community Service Providers (NASCSP) and HHS:

1) Expenditures for each category in regular CSBG:

±		
Employment	\$ 312,542	4%
Education	1,955,741	23.17%
Income Management	855,790	11%
Housing	665,610	8%
Emergency Assistance	1,561,170	18%
Nutrition	376,310	4.4%
Self-Sufficiency Progra	ms 1,469,320	17%
Health	375,724	4.4%
Linkage services	884,775	10%
Other	<u>17,480</u>	.03%
Total Expenditures	\$ 8,474,462	100.00%

Note: Since the funds are allocated for a two year period, any funding allocated to Community Action Agencies (CAAs) that is not expended in the initial year, is carried over to the following year.

2) Because some federal, state, local, and private funding is provided to the agencies with inadequate or no administrative funding, CSBG is generally used to supplement the administrative funding needed to provide those programs, or to provide general self-sufficiency assistance (including the certification and training of Family Development Specialists) that envelops a variety of programs. For 2004, the funding supported by CSBG in Indiana Community Action Agencies included:

Federal	\$ 144,865,293
State	20,936,805
Local	2,000,108
Private	16,239,306 (includes \$6,055,709 in-kind services)
Total	\$ 184,041,512

In addition, the agencies recorded 856,687 hours of volunteer services.

3) A total of 456,671 persons and 201,808 families were served through the above programs.

No significant changes for 2006 and 2007:

There have been no significant changes in the CSBG State Plan from the previous plan. The CSBG program is currently operating under the Community Services Block Grant Act (42 U.S.C. 9901 et seq.) as amended by the Coats Human Services Reauthorization Act of 1998 (Reauthorization Act), effective FY'2000.

As of this date, legislation re-authorizing the program for FY 2006 and beyond is still being debated, and some changes could be made in program requirements. As required by HHS, this State Plan for 2006 and 2007 could be amended to reflect those changes.



STATE OF INDIANA

Mitchell E. Daniels, Jr. Governor

OFFICE OF MANAGEMENT & BUDGET

212 State House Indianapolis, Indiana 46204-2796 317-232-5610 Charles E. Schalliol Director

PUBLIC ANNOUNCEMENT

THE BUDGET COMMITTEE WILL MEET

ON FRIDAY, AUGUST 12, 2005 AT 9:00 A.M.

INDIANA STATE UNIVERSITY STATE ROOM, TIREY HALL TERRE HAUTE, IN

State Budget Committee Agenda August 12, 2005, 9:00 A.M. State Room, Tirey Hall Indiana State University, Terre Haute, Indiana

I. State Agency Projects

Department of Administration (061) Upgrade Air Conditioning System – Statehouse Project No. O23-6-001

\$14,400,000

Increasing problems with mold, mildew, and other indoor air quality issues along with the age of the existing system prompted facilities to request upgrading the air conditioning system in the State House. In the first phase of the project, a master plan was developed for improving the air quality in the State House and some physical improvements were begun to the extent that funding would allow. This funding will allow for the upgrades and improvements to be completed for the entire Statehouse.

(2005 General Fund – R&R Department of Administration)

Department of Natural Resources (300) Prebuilt-Modular Cabins – State Parks Project No. 035001

\$175,000

This request will fund the purchase of 25 Prebuilt-Modular cabins at Tippecanoe River State Park, Brown County State Park and Mississinewa Reservoir. The cabins are a reliable revenue source that can attract many Hoosiers that may not be able to afford recreational vehicles or camping gear but want the camping experience. (2005 General Fund – R&R State Parks and Reservoirs)

3. <u>Department of Natural Resources (300)</u> Charlestown – State Parks Project No. 035002

\$3,000,000

Charlestown State Park will receive a 2,700-acre parcel of land from the US Army. This request will fund a property master plan; pool and rehabbing of the newly acquired facilities into a property service area. The new parcel will require major demolition and habitat restoration. The visitation at Charlestown has been weak because of its lack of a water-based recreation facility. Developing a pool would help with the campers as well as the day users since the local community has no pool of its own.

(2005 General Fund – State Parks and Reservoirs – Charlestown)

4. Department of Natural Resources (300)

\$1,000,000

Dams Engineer Study – Dam Upgrades Engineer Study Project No. 015001

This request will fund the engineering design study to upgrade and correct safety deficiencies on DNR dams.

(2005 General Fund – Dam Repair and Rehabilitation)

5. <u>Department of Natural Resources (300)</u>

\$375,000

Outdoor Recreation Interlake Redbird – Outdoor Recreation Project No. 105001, 105002

Project request will fund the master planning and boundary work at the Interlake recreation area that is being developed on 3000 acres of former coal mine land in Pike and Warrick counties. This request will also provide for master planning and land acquisition and development at the Redbird Off Road Vehicle Riding Area. (2005 General Fund – R&R Outdoor Recreation)

6. Department of Natural Resources (300)

\$700,000

Law Enforcement F&W Regional Office – Law Enforcement Project No. 115001

The funds will establish a Regional Office in Fort Wayne for Law Enforcement and Fish and Wildlife offices. Combining the offices will enhance the public's ability to access DNR at one location.

(2005 General Fund – R&R Enforcement)

7. <u>Department of Natural Resources (300)</u>

\$2,500,000

Various Historic Sites Projects Project No. 145472, 145459, 145572

Statewide Emergency funds for repairs affecting the health and safety of visitors and staff. Since the historic buildings and structures are over 100 years old, unforeseen problems develop. The emergency funds will allow for quick reaction to those emergency needs.

Whitewater Canal is the most visited site within the State Historic system. Funds will be used to address sediment and erosion in order to maintain good operations of the well visited site. Sediment and erosion has never been removed throughout the length of the canal.

Statewide HVAC system needs. Funds are being requested to replace, revamp or upgrade existing systems and to purchase new systems at sites where the lack of environmental control causes damage to the buildings and the artifacts within. (2005 General Fund – R&R Museums and Historic Sites)

8. Department of Natural Resources (300) Nature Preserves General Rehab - Natura

\$200,000

Nature Preserves General Rehab – Nature Preserves Project No. 165001

Nature Preserves manages 85 properties scattered throughout the state with trails and trail structures, fences, signage, parking areas and natural communities that need regular repairs and minor rehab. Weathering normal wear and tear and vandalism necessitate periodic repairs to preserve the natural features of the preserves. General rehab provides for fuel reduction and invasive species control. This request will rehab facilities at Hoosier Prairie, Olin Lake, Big Walnut, Twin Swamps and Portland Arch.

(2005 General Fund – R&R Nature Preserves)

9. Department of Natural Resources (300)

\$4,250,000

State Parks and Reservoirs General Rehab – State Parks and Reservoirs Project No. 035003

The Parks and Reservoirs properties need regular maintenance annually to address their most pressing health safety and rehab needs before they affect visitor services and revenue generation. Delaying rehab maintenance results in either loss of service or facilities or more costly maintenance. The Parks properties will use the general rehab funds to repair restrooms, comfort stations, utility systems, campgrounds, gatehouses and other structures on some 32 properties. The Parks department maintains 181,000 acres of land with 2100 permanent buildings expanding 2 million square feet, 7,700 campsites, 787 trail structures, 140 rental cabins and other facilities for 16 million visitors a year. The rehab funds will ensure that these facilities are maintained adequately to prevent threat to our visitors and keep our employees safe. (2005 General Fund – R&R State Parks and Reservoir Management)

10. Department of Natural Resources (300)

\$400,000

Invasive Species Control – Entomology Plant Pathology Project No. 045001

There are currently two counties under federal and state quarantine for infestation of exotic pest emerald ash borer. The funding request will provide mandated cost share of 20% to match anticipated federal funds of \$2 million to support the emerald ash borer program work statewide.

(2005 General Fund – Entomology – Exotic Species Control)

11. Department of Natural Resources (300)

\$3,000,000

State Parks and Reservoirs Water and Wastewater – State Parks and Reservoirs Project No. 035004

State Parks and Reservoirs have several public works emergencies each year, many of them related to failures with water or wastewater systems. This request will help to prevent unplanned loss of service to customers and help comply with IDEM regulatory requirements. Funds will rehab wells, water storage and water distribution

system at Mississinewa, Raccoon and Salamonie Reservoirs. Funds will also rehab wastewater treatment plants and sewer lines at Indiana Dunes and McCormick's Creek State Parks.

(2005 General Fund – Drinking Water and Wastewater Projects)

12. <u>Department of Natural Resources (300)</u> Shafer-Freeman Lakes Dredging Enhancement Project

\$1,000,000

This request will provide the funding needed to finish the dredging of the Big Monon and the North and South sides of Lowes Bridge. If the Shafer and Freeman Lakes project is discontinued, the silt and sand north of the point where dredging has already occurred will be forced down the Lake and contaminate areas already dredged. The spreading of silt and sand over a larger part of the Lakes will increase cost and time dedicated to the project and may cause environmental harm to some wildlife species. Economic development within White and Carroll Counties will also be devastated due to loss of residential property values and the tourist industry. (2005 General Fund – Shafer-Freeman Lakes Dredging Enhancement Project)

13. <u>Indiana War Memorials Commission (315)</u> Repair Fountain – Soldiers and Sailors Monument Project No. A66-601

\$1,750,000

This project request is a two-part project focused on ensuring the continued operational capability of the Soldiers and Sailors Monument. Because these issues with the monument have recently developed, they are not part of the agency master plan. The project will address the failing cooling package of the HVAC system. The steam heat portion of the system is functional, the cooling package is failing. Sand encroachment in the well system has overwhelmed the existing filtration capability; temperature differential of well water is only marginally capable of providing cooling during hottest summer days. The system cannot consistently produce quality air. The well water-cooling package will be replaced with commercial chilled water. The second phase of the project will address the severely cracked lips on the upper fountain basins of the Monument that are at serious risk of collapsing. (2005 General Fund – R&R Indiana War Memorials Commission)

14. Department of Child Services (502)

\$355,000

Office Space Renovation – Indiana Government Center South Project No. 023-06-002

Alterations of approximately 11,000 sf in the Indiana Government Center South for the Department of Child Services and the Department of Family Resources. The work includes partitioned offices with associated changes in lighting and heating/air conditioning and open offices defined with systems furniture.

(2001 General Fund – ADA Compliance Department of Administration)

(2003 General Fund – R&R Muscatatuck)

15. <u>Indiana Veterans' Home (570)</u>

\$150,000

Replace Interior Handrails – Mitchell Hall

Project No. B17-6-013

The poor condition of the hand railings have been cited as a deficiency in inspections conducted by the Veterans Administration. Continued citations can result in a retraction of federal funds.

(2005 Veterans' Home Building Fund – R&R)

16. <u>Indiana Veterans' Home (570)</u>

\$222,300

Electrical Rehab – Powerhouse Facility Project No. B17-6-023/024

Three electrical switches failed this past winter. The switches provide service and redundancy for IVH resident and Administrative buildings. Without replacement of the switches, IVH residents could be placed in an inappropriate environmental condition.

(2001 Veterans' Home Building Fund – R&R)

17. <u>Indiana Veterans' Home (570)</u>

\$275,000

Replace Water Softeners – Powerhouse Facility Project No. B17-6-012

Steam boilers provide steam for facility heating and kitchen activities. Without the softeners non-treated water can lead to tube bundle damage and premature boiler failure.

(2005 Veterans' Home Building Fund – R&R)

18. <u>Indiana Veterans' Home (570)</u>

\$300,000

Install Lift/Catch Basin – Powerhouse Facility Project No. B17-6-011

Currently boiler blow down water is discharged into the storm water system. This discharge is in non-compliance with IDEM regulations. Discharge should occur in a sanitary sewer system. The lift station will provide the capture and transfer to a sanitary sewer system. Fines can be levied for non-compliance of IDEM regulations. (2005 Veterans' Home Building Fund - R&R)

19. House of Representatives (003)

\$150,000

House Chambers and Offices Renovation

The agency is requesting funds to complete several refurbishment, repair, and rehabilitation projects in the House Chambers and offices. One of the projects is to make wall and ceiling repairs including painting and lighting repair (\$55,000). Also, the existing carpet will be cleaned and repaired for \$9,400. Funds for replacing the

window coverings (\$2,000) and repairing/replacing furniture (\$79,600) are also requested. Lastly, emergency lighting will be installed and exits signs will be replaced for \$4,000. (2005 General Fund – House Renovations)

II. University Projects

1. <u>Indiana University – Purdue University Indianapolis (750)</u> \$83,333,333 Research Institute III

Project No. A-2-03-1-08

The Trustees of Indiana University request authorization to proceed with the construction of a 254,000 GSF (138,472 ASF) Research Institute III located between the Cancer Research Institute (Research I) and Research Institute II on the campus of Indiana University Purdue University Indianapolis. The primary purpose of the building will be to foster scientific discovery that leads to innovative and life-saving therapies, especially in cancer treatment. The Academic Facilities Student Fee Bonds are authorized by IC 20-12-6 and are eligible for fee replacement. The Medical Research Facilities Bonds are authorized by IC 20-12-8. Both bonds are subject to approval by the State Budget Committee, Budget Agency and the Governor of the State of Indiana. Recommendation and/or approval of this project and its financing by the Budget Committee do not constitute a commitment to plant expansion. The permanent financing package is subject to approval by the State Budget Director.

Funding:	2003 Bonding Authority	\$33,333,333
	Medical Research Facilities Bonds	\$25,000,000
	Gifts Funds and Grants	\$25,000,000
	Estimated fee replacement (20 years)	\$2,847,450/year
	Estimated annual plant expansion	\$3,143,758

CHE Review: June 10, 2005

Indiana University – Purdue University Indianapolis (750) Indiana University Sublease Agreement \$3,000,000

Indiana University requests review from the Budget Committee to enter a sublease on behalf of the Indiana State Department of Toxicology administered by the Indiana University School of Medicine.

The sublease provides space to the Department of Toxicology in the new state joint facility located at the northeast corner of Dr. Martin Luther King Jr. Street and Sixteenth Street in Indianapolis, IN.

Funding: Indiana University School of Medicine \$3,000,000

3. Purdue University West Lafayette (760) Infrastructure and Utilities Improvements Project No. B-1-05-1-01

\$42,100,000

Purdue University requests approval of the infrastructure and utilities improvement project at the West Lafayette campus. This project comprises three improvement requests: the installation of emission controls on one of the coal-fired boilers (\$9M), re-direction of storm water from the currently used retention pond into planned cleaning basins that flow into the river (\$9.5M), and construction of a new electric substation on the north campus to replace the existing electric substation located at the old North Power Plant as well as replacement of aging electrical distribution feeders and switchgear (\$23.6M). Recommendation and/or approval of this project and its financing by the Budget Committee do not constitute a commitment to plant expansion. The permanent financing package is subject to approval by the State Budget Director.

Funding:

2005 Bonding Authority

\$42,100,000

Estimated fee replacement (20 years)

\$3,378,212/year

Estimated annual plant expansion

\$211,354

CHE Review: August 12, 2005

4. Vincennes University (790)

\$2,500,000

Steam Line Replacement Project No. E-1-03-2-01

Vincennes University requests approval to replace a portion of their steam lines. Steam lines currently located throughout the Vincennes University campus are thirty to forty years old. The operational inefficiencies equate to a substantial loss of heat and excessive use of energy. The project involves replacing 4,000 linear feet of corroded steam line, as well as incidental repair of back curbs, streets, brick and concrete walkways, and parking lots. The project is not eligible for fee replacement and plant expansion.

Funding:

2005 General Fund – Steamline Replacement \$2,500,000

CHE Review: August 5, 2005

Summary

May 19, 2005	Totals
2001 General Fund	\$ 100,000
2003 General Fund	\$ 255,000
2005 General Fund	\$35,400,000
2001 Veterans' Home Building Fund	\$222,300
2005 Veterans' Home Building Fund	\$725,000
Bonding Authority	\$75,433,333
Own Source Funding	\$53,000,000
TOTAL	\$165,135,633

III. Review Items

- 1. FSSA Community Services Block Grant Program
- 2. Indiana State University Degree Link
- 3. EDGE for Retention Agreement: I/N Kote, LP
 Budget Committee review pursuant to IC 6-3.1-13-19.5(b).
 Approved by Indiana Economic Development Corporation on July 15, 2005.
- 4. Indiana Technology Fund
 Libraries Internet Connection \$1,200,000
 INSPIRE \$1,250,000
- 5. Auto Emissions Vehicle Inspection & Maintenance Program for Clark and Floyd counties
- 6. Indiana Bond Bank Issue of Indebtedness for Carmel Municipal Sewage Works